

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR:

Gauldie, et al.

DOCKET NO.:

ARK-P001

APPLICATION NO:

09/742,892

GROUP ART UNIT:

1635

DATED:

12/21/2000

EXAMINER:

Not Yet Assigned

TITLE: Acne Vaccine

The Commissioner of Patents and Trademarks Box Patent Application Washington, D.C. 20231 RECEIVED

APR 1 4 2003

TECH CENTER 1600/2300

REQUEST FOR REVOCATION AND NEW POWER ATTORNÉ

Sir:

Under 37 CFR 3.73(b) and (c), by providing documentary evidence of the chain of title from the original owner to myself, I identify myself as owner and assignee of this patent application.

The application was assigned by the inventors to ArkaGen, Inc. in an executed Assignment (copy enclosed)

As the Assignee of the entire interest in the above-identified application, all powers of attorney previously given are hereby revoked, and **DENNIS S. FERNANDEZ**, **REG. NO.** 34,160 and **PETER C.** SU, **REG. NO.** 43,939 are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected with the above-identified application. The Assignee has reviewed documentary evidence of the chain of title from the original owner to the Assignee recorded in the U.S. Patent and Trademark Office at Reel 9838, Frame 0644, and certifies that to the best of its knowledge and belief it is the owner of the entire right, title and interest in and to the above-identified application.

Please direct all telephone calls and correspondence to:

FERNANDEZ & ASSOCIATES, LLP PATENT ATTORNEYS PO BOX D MENLO PARK, CA 94026-6204 (650) 325-4999 (650) 325-1203: FAX

EMAIL: iploft@iploft.com

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Respectfully submitted,

Assignee of Entire Interest

March 21, 2003

Narayan Baidya, Ph.D., M.B.A.

President and Director, ARKAGEN, Inc.

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ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

We, the undersigned (each) have agreed and hereby agree to assign for good and valuable consideration of the sum of \$2.00 lawful money of and in consideration of other USA good and valuable consideration the receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto

ArkaGen, Inc.

a corporation organized under the laws of the state of California as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

Recombinant Genetic Vaccine for the Prevention and Treatment of Acne

described in an application for Letters Patent of the United States, identified as Attorney Docket No.GDI-2, at Bencen & Van Dyke, P.A. 1630 Hillcrest Street, Orlando, Florida 32803 and filed on <u>December 21.2000</u>, as Application Serial No.09/142.892, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. We request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

We hereby authorize Timothy H. Van Dyke, attorney for Assignee, to insert the filing date and serial number into the first paragraph of this assignment, after the application for Letters Patent has been filed, and the U.S. Patent Office has assigned such application a serial number.

We covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, we have hereunto signed our names on the day and year set forth below.

Foodd Brown	ide_	8/29/01
Todd Braciak, Inventor and Co-Owner		Date
7. ·		
STATE OF California)	270 70 1/9/
STATE OF California COUNTY OF San Diego) SS)	378-72-1686
Jack Gauldie, Inventor and Co-Owner		DATE
STATE OF)) SS	
COUNTY OF)	•
Eli Sercarz, Co-Owner		DATE
STATE OF)	
COUNTY OF) SS)	

ACCIO	NMENT OF PATENT APPLICATION	Attorney Docket No. GDI-2
Jack Galidie, Inventor and Co-Owner	Dec 10, 2001	
COUNTY OF CANADA SS On this 10 th day of Peceurbes or proved to me on the basis of satisfactory evidence to the/she executed the same of his/her own free will for the unit of the same o	be the same individual who executed the foregoing assignment see and purposes therein set forth.	<u>umar</u> who is personally known by me it, and who acknowledged to me that
Eli Sercarz, Co-Owner	DATE	
STATE OF) SS COUNTY OF)		
On this day of	before me personally came the above named <u>Eli Serca</u> e same individual who executed the foregoing assignment, and purposes therein set forth.	uz who is personally known by me or who acknowledged to me that he/she
Vipin Kumar Chaturvedi, Co-Owner	DATE	
STATE OF) SS COUNTY OF)		
On this day of proved to me on the basis of satisfactory evidence to be the executed the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will for the use and provided the same of his her own free will be a same of his her own	before me personally came the above named <u>Jack Gauld</u> e same individual who executed the foregoing assignment, and purposes therein set forth. Dec 10, way	lie who is personally known by me or who acknowledged to me that he/she
COUNTY OF CANADA SS On this 10 th day of December 24	OO [before me personally came the above named <u>Frank Gra</u>	aham who is personally known by me
or proved to me on the basis of satisfactory evidence to be he/she executed the same of his/her own free will for the up	be the same individual who executed the foregoing assignment	t, and who acknowledged to me that
Peter Emtage, Co-Owner	DATE	
STATE OF)) SS		
COUNTY OF)		

TECHNOLOGY TRANSFER AGREEMENT

This TECHNOLOGY	TRANSFER	AGREEMENT	(the "A	Agreement")) is made	and
entered into as of June	15th	, 200	01, by a	nd between	ArkaGen,	Inc.
(the "Transferee"), a California	a corporation	and	Todd	A. Brac	cick	(the
"Transferor")						

WHEREAS, the Transferee has agreed to issue ten thousand (10,000) shares of its Common Stock to the Transferor pursuant to date herewith for and in consideration of Transferor's agreement to transfer all of his rights, titles and interests to the Technology and Intellectual Property Rights, subject to the terms and conditions set forth herein.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties hereto agree as follows:

1. Definitions.

- (a) "Improvement" means, with respect to certain technology, any improvement, upgrade, enhancement, fix, patch, extension, add-on, derivative work, customization, translation, abridgement, complication, port, or the like, or any material made compatible or interoperable with such technology or any portion thereof.
- (b) "Intellectual Property Rights" means all rights, title and interest (choate or inchoate) in the Technology including, without limitation, the exclusive right to make, use and sell the Technology and Improvements thereto, together with any and all ideas, conceptions and inventions (whether or not patentable, reduced to practice or made the subject of a pending patent application), copyrights (whether or not registered), copyrighted or copyrightable works, mask work rights, trademarks, sui generis database rights, drawings, designs, contract and licensing rights, and legal claims and defenses related thereto, as may exist now and/or hereafter come into existence, and any and all applications for registration and registrations thereof throughout the world together with all divisions, renewals and continuations or extensions in whole or in part of any of the foregoing, as may be owned by or licensed to the Transferor as of the Effective Date, together with all know-how, trade secrets, manufacturing and production processes and techniques, research and development information, improvements, processes, formulae, methods, plans, concepts, precursors, mask, works, data and other confidential technical information and intangible intellectual property embodied in or pertaining to the Technology.
- (c) "<u>Technology</u>" means those assets, inventions and technologies described in Exhibit A attached hereto.

2. Assignment.

(a) <u>Assignment of Existing Rights</u>. Transferor hereby irrevocably transfers, assigns and conveys unto the Transferee, free and clear of any liens, encumbrances, charges and any rights of third parties, all of its rights, titles and interests, of whatsoever nature, to the

IN WITNESS WHEREOF, the undersigned has executed this Technology Transfer Agreement as of the date first written above.

ArkaGen, Inc.

By:	Fodal A. Brack	By: Jodd Braciek
Name:	Todd Braciak	Name: Toold Braciak
Title:	Vice President	Title: